



On the Throughput Limits for Communication Networks

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Outline



- Problem Statement
 - Network Information Theory (NIT): The Grand Challenge
 - NIT: What and Where
 - Classical Information Theory (CIT): and its Limitations
- Modus Operandi
- Target Areas
 - Wired Networks under deterministic guarantees
 - Wired Networks under stochastic guarantees
 - Wireless Networks under stochastic guarantees
- Conclusions with a Look ahead...

NIT: Network Information Theory
CIT: Classical Information Theory

NIT: The Grand Challenge



- Internet as well as wireless services were initially designed to provide "Best Effort Service" with no guarantee on QOS.
- Emerging applications such as VOIP, multimedia conferencing, visualization, and virtual reality require guaranteed QOS.
- Before these real-time applications can be broadly used, a lot of challenges have to be addressed.

NIT: The Grand Challenge



- 1) The infrastructure must be modified to support real-time QoS, which provides some control over end-to-end packet delays.
 - 2) Supporting mechanisms should be upgraded.
 - 3) Network Information Theory should be developed to find out the capacity limits of the networks (wireless in particular) under QoS constraints.
- A lot of work has been done on 1 & 2
 - 3 is a Grand Challenge [J.Andrew et.al, '03].

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NIT: What and Where



- What?
 - Information Theory for the communication networks taking into account bursty nature and delay requirements of real sources.
- Where?
 - To study the queuing behavior of packet switched networks
 - To understand fundamental throughput and delay performance limits of communication networks.
 - In designing resource allocation algorithms (Power control, Medium Access, Routing)
 - In sizing up resources of the networks to meet the throughput limits.
- Why NIT or What are the limitations of Classical Information Theory (CIT)? ...

CIT and its Limitations



- CIT* focuses on point-to-point, source-channel-destination model of communication, ignoring the **bursty** nature of real sources.
 - Idle periods of source silence / inactivity are not considered.
 - However, in networks **source burstiness** is the central phenomenon that underlies the process of resource sharing for communication.
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- CIT has focused on asymptotic limits of the tradeoff b/w accuracy and rate of communication, ignoring the role of delay as a parameter that may effect this tradeoff.
 - In networking, delay is a fundamental quantity that must be take into account.

*CIT: Classical Information Theory

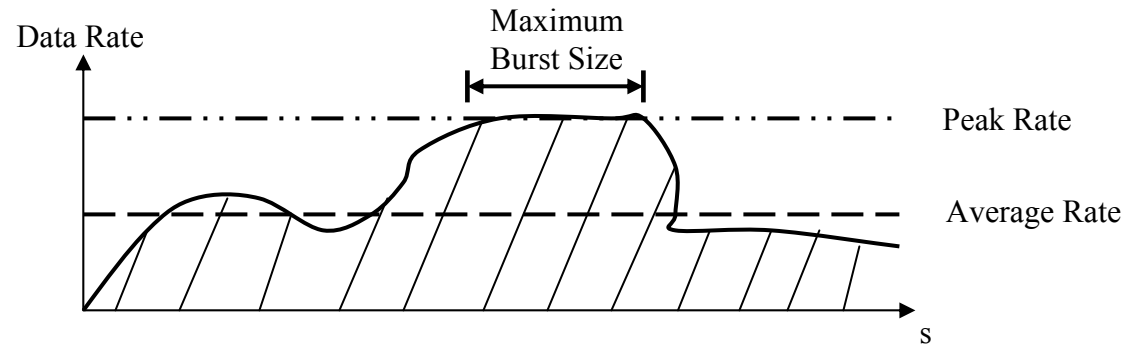
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CIT and its Limitations



- Shannon capacity assumes infinite **delay**, so only average rate is important. Hence **burstiness** (peak rate) has been neglected.

$$C = \lim_{T \rightarrow \infty} \frac{\log_b M(T)}{T}$$

*CIT: Classical Information Theory


CIT and its Limitations



- So far the study of wireless networks has been conducted in the restricted framework of **non-bursty** and **delay-insensitive sources**.
- CIT has ignored networking concerns like bursty traffic, finite flows and sessions, queuing delay and routing [[J.Andrew et.al, '03](#)].
- NIT considering bursty traffic and delay constraints should be developed.
- What should be the modus operandi?

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Modus Operandi



- Method for quantifying the effective data rate of a bursty source should be identified.
- The following two have been proposed in literature:
 1. Statistical Method based on theory of effective Bandwidth.
 2. Calculus of Constraints (Deterministic or Stochastic).

Statistical Method



- Statistical Method is based on theory of effective bandwidth [Kelly,'96].
- Effective bandwidth of a source gives the amount of resources that must be reserved depending on the statistical properties and QoS requirements of a source.

$$\alpha_i(s, t) = \frac{1}{st} \log E \left[e^{sX_i[0,t]} \right]$$

- So, a variable data stream has an effective bandwidth (between **peak** and **mean** rate of stream) and characterizes the resource requirement of flow.

Calculus of Constraints



- Impose constraints on the data admitted into the Network.
- Network should then be able to provide guaranteed QOS.
- Constraints should fulfill the following requirements.
 - ✓ Flexibility
 - ✓ Easy to Enforce or Monitor.
 - ✓ Operational significance to the Network.

Calculus of Constraints



- Constraints on the data admitted into the Network.
- Flexibility
 - Should Allow Controlled degree of Burstiness on part of data sources.
- Easy to Enforce or Monitor
 - Should be easy to police a data stream (through dropping or delaying part of stream) to produce output stream satisfying the constraints.
- Operational significance to the Network
 - Should be easy for the network to exploit constraints on admitted data streams in order to deliver performance guarantees.

Calculus of Constraints



- Basic Popular DataStream constraint is the (σ, ρ) constraint.
- **Flexibility**
 - Allows a stream to contain an occasional burst of size σ .
- **Easy to Enforce or Monitor**
 - Leaky bucket regulator is used in which tokens arrive at rate ρ
- **Operational significance to the Network**
 - When (σ, ρ) stream passes through a buffered link with constant service rate C , then the delay at the buffer is D and the output satisfies the (σ', ρ) constraint for $\sigma' = \sigma + \rho D$.
- Since seminal work on (σ, ρ) -constraint by Cruz, a lot of advancement has been made on QOS guarantees in the form of:
 - Deterministic Network Calculus which provides deterministic service guarantees
 - Stochastic Network Calculus which provides stochastic service guarantees.

Calculus of Constraints

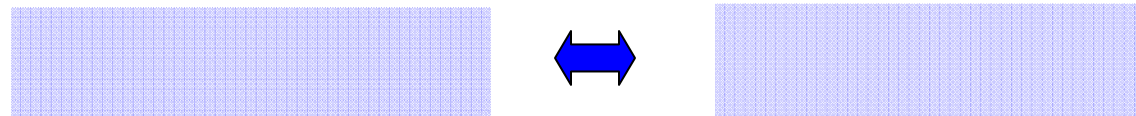


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Calculus of Constraints



- Communication network consists of data flows and network (service) elements.
- Correspondingly, a theory for network analysis is typically built on two fundamental concepts: **traffic model** and **server model**.
 - **Traffic model** characterizes the traffic behavior of a flow.
 - **Server model** characterizes the service behavior of a network element.
- Arrival Curve α : Flow satisfies, for any $0 \leq s \leq t$,



- Server provides Service Curve β iff for all $t \geq 0$, output satisfies



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- **Arrival Curve** α : Flow satisfies, for any $0 \leq s \leq t$,

$$A(s, t) \leq \alpha(t - s) \quad \Leftrightarrow \quad A(t) \leq A \otimes \alpha(t)$$

- Server provides **Service Curve** β iff for all $t \geq 0$, output satisfies

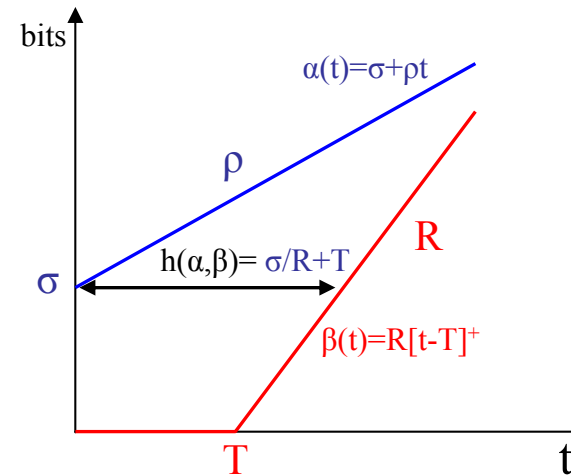
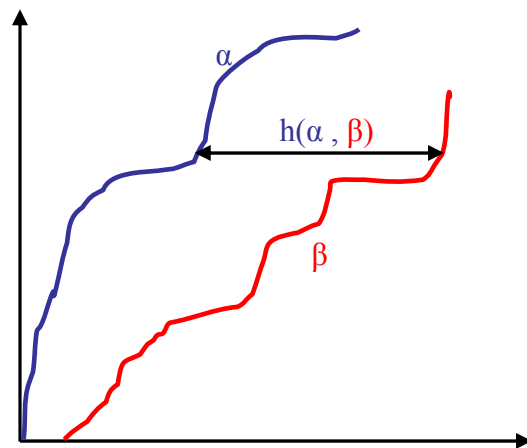
$$A^*(t) \geq A \otimes \beta(t)$$

Min-plus convolution

$$(f \otimes g)(t) = \inf_{0 \leq s \leq t} \{f(t - s) + g(s)\}$$

Network Calculus: A Primer

- Given the arrival and service curve
 - Maximum packet delay experienced by a packet is the
 - Maximum horizontal distance



$$d(t) \leq h(\alpha, \beta)$$

$$h(\alpha, \beta) = \sup_{s \geq 0} \left\{ \inf \left\{ \tau \geq 0 : \alpha(s) \leq \beta(s + \tau) \right\} \right\}$$

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Throughput Limits for Wired Networks Under Deterministic Service Guarantees

Throughput Limits for Wired Networks Under **D**eterministic **S**ervice **G**uarantees

- A DSG guarantees that all packets of a flow arrive at the destination within their required performance measures such as throughput, delay and loss bounds in the network
- $\Pr [\text{Experienced Performance} \geq \text{Desired Performance}] = 1$

Problem Definition



- Given the
 - Arrival process
 - Service Process*
 - Delay Guarantee (D_g)
- What is the **achievable throughput**?
- Definition:
 - Maximum arrival rate which can be supported by the node for a given delay guarantee.
- Crucial Step:
 - Finding the maximum delay experienced by a packet.
 - D_g can only be provided if $D \leq D_g$

Throughput of a Node



- Arrival process (σ, ρ) -constrained
- Service Process* (Constant rate server $\beta(t) = Rt$)
- Delay Guarantee (D_g)
- What is the **achievable throughput**?
- Steps:
 - Maximum Delay (D) experienced by a packet of a flow
 - Tool: Deterministic NetCal or GR analysis
 - For n -flows
 - D_g can only be provided if $D \leq D_g$
 - Combining with stability constraint
 - $(n^* \rho)$ gives us throughput

*Fluid Model

Throughput of a Node



- Arrival process (σ, ρ) -constrained
- Service Process* (Constant rate server $\beta(t) = Rt$)
- Delay Guarantee (D_g)

• What is the **achievable throughput**?

• Steps:

- Maximum Delay (D) experienced by a packet of a flow $D = \frac{\sigma}{R}$
 - Tool: Deterministic NetCal or GR analysis

- For n -flows

$$D = \frac{n\sigma}{R}$$

- D_g can only be provided if $D \leq D_g$
- Combining with stability constraint
- $(n^* \rho)$ gives us throughput

$$\frac{n\sigma}{R} \leq D_g \quad \longrightarrow \quad n \leq \frac{D_g}{\sigma/R}$$

$$n \leq \min \left\{ \frac{D_g}{\sigma/R}, \frac{R}{\rho} \right\}$$

*Fluid Model

Throughput of a Network Under Deterministic Service Guarantees*

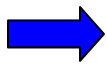


- Given
 - EF class in which each flow is (σ, ρ) -constrained at Ingress Node.
 - Service process (Guaranteed rate Scheduling).
 - FIFO aggregation.
 - Delay Guarantee.
 - Network of Arbitrary topology
- What is the **achievable throughput**?
- Intermediate Steps:
 - Arrival process for the EF aggregate.
 - Finding the maximum delay experienced by a packet.
 - Deterministic Network Calculus (DNC).
 - GR analysis
 - $D \leq D_g$
- What if we allow some packets to violate the D_g ?

*Submitted to IEEE Sarnoff Symposium'10

Throughput of a Network Under Deterministic Service Guarantees*



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 - EF class in which each flow is (σ, ρ) -constrained at Ingress Node.
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Throughput Limits Under **S**tochastic **S**ervice **G**uarantees

- A SSG allows the QoS objectives specified by a flow to be guaranteed with a probability smaller than one
 - $\Pr [\text{Experienced Performance} < \text{Desired Performance}] = \varepsilon$

Stochastic Service Guarantees



- Sources of Multiplexing Gain
 1. Scheduling
 2. Traffic characterization and conditioning
 3. Statistical Multiplexing
- Statistical Multiplexing outperforms 1 & 2 at high data rates [[Ciucu, Burchard, Liebeherr, '05](#)].
- Deterministic NetCal (DNC) has no statistical multiplexing gain pessimistic.
- Stochastic NetCal = Statistical Multiplexing gain + DNC.

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Stochastic Network Calculus



- What?
 - SNC is essentially a method based on deriving the distribution functions for stochastic service guarantee.
- Where?
 - For flows with laxer requirements (Multimedia flows) stochastic service guarantees are more important, as they make better use of multiplexing gain and network resources.
 - For CSMA/CD; allocated BW to a host is highly affected by load from other hosts.
 - For wireless networks as they provide stochastic service guarantee, hence forth SNC is the best option.

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Stochastic Network Calculus: Primer *f*_{Q2S}

- Min-plus stochastic Arrival Curve

DNC

$$P\{A(t) - A \otimes \alpha(t) > x\} \leq f(x)$$

$$A(t) \leq A \otimes \alpha(t)$$

- Min-plus stochastic Service Curve

$$P\{A \otimes \beta(t) - A^*(t) > x\} \leq g(x)$$

$$A^*(t) \geq A \otimes \beta(t)$$

- Delay Bound

$$P\{d(t) > h(\alpha + x, \beta)\} \leq f \otimes g(x)$$

$$d(t) = \inf \{ \tau \geq 0 : A(t) \leq A^*(t + \tau) \}$$

$$\{d(t) > x\} \subset \{A(t) > A^*(t + x)\}$$

$$(f \otimes g)(t) = \inf_{0 \leq s \leq t} \{f(t - s) + g(s)\}$$



Throughput Limits for Wireless Networks

Wireless Networks



- Future wireless systems need to handle diverse multimedia traffic.
 - Packet switching
 - Queuing Behavior (QB)
 - Current physical models do not capture QB
- Early Work
 - For wireless network with $\Theta(\frac{W}{\sqrt{n \log n}})$ nodes transmitting at W bits/sec. [P:Gupta & P.R Kumar,'00]
 - Delay and throughput with n stationary nodes [A.E.Gamal $D(n) = \Theta(nT(n))$]
 - Both these works do not consider queuing delay
 - [Kim & Krunz,00] consider QB but for limited traffic sources
- Also, wireless domain is challenging
 - Due to the time varying nature of wireless channels.
 - So, wireless channel provides stochastic service guarantees.

$$f(n) = \Theta(g(n)) \Rightarrow \exists c_1, c_2, n_0 > 0 \text{ s.t.} \\ c_1 g(n) \leq f(n) \leq c_2 g(n) \forall n \geq n_0.$$

Throughput Limits for wireless Networks

- SNC takes into account
 - the queuing behavior
 - The stochastic nature of wireless channel
- Many traffic sources can be mapped to existing models [[SNC Book; Jiang & Liu,'08](#)].
- Provides decoupling of arrival and service processes
- Application of stochastic network calculus to wireless channels.
 - Network Calculus with MGF [Fidler, '06].
 - Impairment process based [Jiang & Emstad,'05].

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Network Calculus with MGF



- Network Calculus with MGF [Fidler, '06].
- Delay bound for FIFO scheduling in wireless networks.

$$d = \inf_{\theta > 0} \left\{ \inf[\tau : \frac{1}{\theta} (\ln \sum_{s=\tau}^{\infty} M_A(\theta, s - \tau) \bar{M}_S(\theta, s) - \ln \varepsilon) \leq 0] \right\}$$

$$M_A(\theta, t) = \mathbb{E}[e^{\theta A(0,t)}]$$

$$M_S(\theta, t) = \mathbb{E}[e^{\theta S(0,t)}]$$

$$\bar{M}_S(\theta, t) = M_S(-\theta, t)$$

- Challenge: Finding MGF for service process by modeling wireless link as finite state Markov channel specially for multihop networks.

Impairment process based



- Impairment process based [[Jiang & Emstad,'05](#)].
- Service behavior of server is characterized by two stochastic processes: an ideal service process and an impairment process.

$$S(s, t) \geq \hat{\beta}(t - s) - I(s, t)$$

- Find the stochastic nature of the impaired service caused by the bad link condition.
- Then map it to stochastic service model
- Alternate: Time domain modeling: [[Jing & Jiang,'09](#)]
 - Description in terms of time.
 - Avoids intermediate conversion.
- MGF method may be used to find the accurate bounding function for time domain modeling.

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Sincerely Yours

Suggestions are most welcome